PEABODY RIVER ASSET MANAGEMENT, LLC

Table of Fees for Services

Carefully read Item 4 and Item 5 of Form ADV Part 2A (“Brochure”), as these sections of the Brochure contain important details about Peabody River’s advisory services and fees. Fees may be negotiable. The fees below will only apply to you when you request the services listed.

Fees Charged by Investment Adviser	Fee Amount	Frequency Fee is Charged	Services
Assets Under Management Fee	**Individual Accounts** Up to $2,000,000 0.90% $2,000,000 to $4,000,000 0.75% $4,000,000 to $8,000,000 0.40% $8,000,000 and above 0.25% **Held-Away Accounts (401(k), etc.)** Up to $2,000,000 0.75% $2,000,000 to $4,000,000 0.65% $4,000,000 to $8,000,000 0.30% $8,000,000 and above 0.15% **Charitable Accounts** Up to $2,000,000 0.80% $2,000,000 to $5,000,000 0.65% $4,000,000 to $10,000,000 0.30% $10,000,000 and above 0.15%	Quarterly in arrears	Portfolio management for individuals and non-profit organizations
Hourly Fee	$200	5-min increments, payable at project end	Consulting and planning
Subscription Fee	None		
Fixed Fee	None		
Commissions to the Adviser	None		
Performance-based Fee	None		
Bond ladder management	0.10% of AUM per annum	Quarterly in arrears	
Fees Charged by Third Parties	**Fee Amount**	**Frequency Fee is Charged**	**Services**
Third Party Money Manager	None		
Robo-Adviser Fee	None		
Talk with your Adviser about fees and costs applicable to you			

Additional fees and costs to discuss with your Adviser

Additional Fees/Cost	Yes/No	Paid To
Brokerage Fees	Yes	Broker-Dealer
Commissions	No	N/A
Custodian Fees	No	N/A
Mark-ups	No	N/A
Mutual Fund/ETF Fees and Expenses	Yes	Fund management company

Effective 1 November 2019